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Patent
Attorney's Docket No. 033297-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Lynn D. Crawford, et al.

Application No.: 09/882,823

Filed: June 15, 2001

For: BALLOON OCCLUSION DEVICE
HAVING A PROXIMAL VALVE

Group Art Unit: 3731

Examiner: Unassigned

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TC 3700 MAIL ROOMINFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTERAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:


Kelly J. McCrystle

Registration No. 46,257

Date: August 28, 2001



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. PATENTS

U.S. Patent No. 3,467,101 issued to Fogarty, et al. on September 16, 1969
U.S. Patent No. 4,811,737 issued to Rydell on March 14, 1989
U.S. Patent No. 4,846,174 issued to Willard, et al. on July 11, 1989
U.S. Patent No. 4,946,466 issued to Pinchuk, et al. on August 7, 1990
U.S. Patent No. 5,807,330 issued to Teitelbaum on September 15, 1998
U.S. Patent No. 5,833,644 issued to Zadro-Azizi, et al. on November 10, 1998
U.S. Patent No. 5,833,650 issued to Imran on November 10, 1998
U.S. Patent No. 5,893,867 issued to Bagaoisan, et al. on April 13, 1999
U.S. Patent No. 6,015,402 issued to Sahota on January 18, 2000
U.S. Patent No. 6,022,336 issued to Zadro-Azizi, et al. on February 8, 2000
U.S. Patent No. 6,050,972 issued to Zadno-Azizi, et al. on April 18, 2000
U.S. Patent No. 6,068,623 issued to Zadno-Azizi, et al. on May 30, 2000
U.S. Patent No. 6,102,891 issued to Maria van Erp issued on August 15, 2000

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U.S. Patent No. 6,135,991 issued to Muni, et al. on October 24, 2000
U.S. Patent No. 6,190,332 B1 issued to Muni, et al. on February 20, 2001
U.S. Patent No. 6,217,567 B1 issued to Zadno-Azizi, et al. on April 17, 2001
U.S. Patent No. 6,228,072 B1 issued to Omaleki, et al. on May 8, 2001
U.S. Patent No. 6,231,588 B1 issued to Zadno-Azizi on May 15, 2001
U.S. Patent No. 6,234,996 B1 issued to Bagaoisan, et al. on May 22, 2001
U.S. Patent No. 6,252,909 B1 issued to Tzannes, et al. on June 26, 2001
U.S. Patent No. 6,270,477 B1 issued to Bagaoisan, et al. on August 7, 2001
U.S. Patent No. 6,273,878 B1 issued to Muni on August 14, 2001

FOREIGN PATENTS

PCT Publication No. WO 88/00844 issued on February 11, 1988

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

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Date: August 28, 2001

Substitute for form 1449A/PTO

ATTORNEY'S DKT NO.

033297-005

APPLICATION NO.

09/882,823

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

Lynn D. Crawford, et al.

FILING DATE

June 15, 2001

GROUP

3731

| U.S. PATENT DOCUMENTS | | | | | | |
|---------------------------------|---|-------------------------|--|-------------------------------------|-------------|----|
| Examiner Initials | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication (MM-DD-YYYY) | | |
| | Number | Kind Code (if known) | | | | |
| | 3,467,101 | | Fogarty, et al. | September 16, 1969 | | |
| | 4,811,737 | | Rydell | March 14, 1989 | | |
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| | 6,190,332 | B1 | Muni, et al. | February 20, 2001 | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| Examiner Initials | Foreign Patent Document | | Country | Date of Publication (MM-DD-YYYY) | Translation | |
| | Number | Kind Code (if known) | | | Yes | no |
| | WO 88/00844 | | PCT | February 11, 1988 | x | |
| NON PATENT LITERATURE DOCUMENTS | | | | | | |
| Examiner Initials | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
| Examiner Signature | | | | Date Considered | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.